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# ARAP-SG: Anonymous and Reliable Authentication Protocol for Smart Grids

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**ABSTRACT** Internet of Things-enabled smart grid (SG) technology provides ample advantages to traditional power grids. In an SG system, the smart meter (SM) is the critical component that collects the power usage information related to users and delivers the accumulated vital information to the central service provider (CSP) via the Internet. The information is exposed to numerous pernicious security threats. Consequently, it is crucial to preserve the integrity of the communication between SMs and CSP for the smooth running of the SG system. Authentication protocol effectively enables SM and CSP to communicate securely by establishing a secure channel. Therefore, this paper presents an anonymous and reliable authentication protocol for SG (ARAP-SG) to enable secure and reliable information exchange between SM and CSP. The proposed ARAP-SG uses the hash function, elliptic curve cryptography, and symmetric encryption to complete the authentication phase. Consequently, ARAP-SG guarantees reliable information exchange during the authentication phase while conserving the anonymity of both SP and SM. Additionally, ARAP-SG authorizes CSP and SM to construct a session key (SK) after accomplishing the authentication phase for undecipherable information exchange in the future. We utilize the random oracle model to corroborate the security of the constructed SK in ARAP-SG. Moreover, by effectuating informal security analysis, it is manifested that ARAP-SG is proficient in thwarting covert security attacks. Furthermore, Scyther-based analysis is conducted to manifest that ARAP-SG is secure. Finally, through a comparative analysis with relevant authentication protocols, it is explained and shown that ARAP-SG entails 25.5-56.76% and 7.69-49.47% low computational and communication overheads, respectively, with improved security properties.

**INDEX TERMS** Authenticated encryption, security, privacy, authentication, smart Grid, AEAD.

# I. INTRODUCTION

The advent of the Internet of things (IoT) enabled communication paradigm and advancement in the embedded system design to expand the cyber-physical system (CPS) employment in practical applications [1], [2]. A CPS is the synthesis of the cyber system, the physical system, and the communication technology. The cyber system accomplishes comprehensive computational operations on the data

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acquired from the physical IoT devices, deciphers the data, and originates control operations and actions in real-time. IoT-enabled smart grid (SG) CPS is the emerging CPS comprising resource-constricted IoT devices interconnected through standard communication mechanisms for exchanging information. The SG system comprises the smart meters (SMs), equipped with a communication module, sensing capabilities, actuation unit, storage unit, power resources, and central service provider (CPS). CSP stores the information received from the different IoT devices, such as SMs deployed in the SG system. CSP uses the collected data to

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generate billing information and predict consumer behavior. SM is the critical component of the SG system, collects the vital information associated with electricity usage by the consumer and transmits the collected sensitive information to CSP. SM and CSP use cellular communication technology (5G/4G) to exchange information. Consequently, the information thus exchanged is exposed to several security threats [3]. After commandeering sensitive information communication over the public communication channel, the attacker can use the captured information to effectuate various unauthorized actions. Thus, reliable and secure communication mechanisms are paramount for the productive and streamlined operation of the SG CPS. Therefore, an access control (AC) protocol effectively facilitates secure communication in the SG system. The AC protocol establishes a session key (SK) for encrypted communication after accomplishing the mutual authentication (MA) between SMs and CSP [4], [5].

# **II. RELATED WORK**

In the existing literature, many authentication protocols are devised to enable secure and reliable communication in the SG system. However, most authentication protocols cannot impede different security attacks, making them unsuitable for the SG system. In this direction, the authors in [6] proposed an authentication protocol for the SG system by employing the elliptic curve cryptography (ECC), Exclusive-OR, and the secure hash algorithm (SHA). However, the authentication protocol presented in [6] is unable to provide resistance against the device capture attack. The authors in [7] presented an SHA, Exclusive-OR, and ECC-based authentication protocol for the SG system, which is incapable of restraining the de-synchronization (D-Syn) attack and does not ensure SM's anonymity. The authentication protocol presented by authors in [7] is incapable of thwarting D-Syn attack. The authors in [8] proposed an efficient authentication protocol based on physical unclonable function (PUF) and SHA, which can secure the information exchange between SM and CSP. An AEAD, ASCON-hash, and ECC-based authentication protocol for the smart grid system is presented in [9]. The protocol proposed in [9] can provide the resistance against the physical capture attack.

An ECC and SHA-based authentication protocol is presented in [10], [11] for the SG system, which is unable to impede the MITMD and impersonation attacks. In addition, the protocol proposed in [10], [11] does not render the anonymity and un-traceablity functionalities. The authors in [12] propounded an SHA, ECC, and Exclusive-OR based authentication protocol, which cannot resist D-Syn attack. The authentication protocol presented by the authors in [13] is unable to impede MITMD and impersonation attacks. In addition the protocol presented in [13] does not render the anonymity feature. The authentication scheme presented in [14] is prone to ESL, MITMD, SM physical capture attack attacks and unable to render un-traceablity and anonymity functionalities. The access control protocol

presented in [15] cannot resist D-Syn attack. The authentication scheme presented in [16] cannot resist denial-of service (Do's), MITMD, replay, and ESL attacks and does not ensure the SM anonymity, as demonstrated in [17]. An authenticated encryption with associative data (AEAD) authentication scheme is resented in [18]. The scheme proposed in [19] cannot resist the D-Syn and PI attacks. Similarly, an AEAD based authentication scheme proposed in [20] for the smart home environment. The scheme presented in [21] lacks the SK verification mechanism and cannot ensure anonymity. The authors in [22] proposed an AEAD, SHA, and ECC-based authentication scheme, which lacks the feature of SK verification mechanism.

The authentication scheme proposed in [23] prone to PI, ESL attacks and does not ensure anonymity of SM. An ECC and SHA-based authentication scheme proposed in [24] to ensure privacy preserving communication the SG system. The authentication scheme rendered in [25] cannot resist MITMD, impersonation, replay, and SM capture attacks. An SHA and ECC based authentication scheme is provided in [26], which is unable to resist impersonation and ESL attacks and does not ensure anonymity feature. The authentication scheme presented in [10] is vulnerable to replay and does not render SM anonymity. An authentication scheme for the SG system is proposed in [27], which is proved to be insecure against various attack in [28]. A lightweight authentication scheme for the SG system is proposed in [29]. Yu et al. [30] designed an authentication scheme for the smart grid environment, which is proved to be insecure against DoS and replay attacks in [31]. In addition, the authentication scheme presented in [31] is unable to render resistance against D-Syn attack.

#### A. RESEARCH CONTRIBUTION

Several authentication protocols have been devised to ensure secure and reliable communication in the SG system in the existing literature, as evinced in Section II. But most of them are not proficient enough to ensure confidentiality, the integrity of the communicated information in the SG system. Thus, ensuring the integrity and confidentiality of the exchanged information has become a crucial issue that has increasingly captured the attention of the research community. The paper has the following main contributions.

We present an anonymous and reliable authentication protocol for the SG, ARAP-SG, based on ECC, Exclusive-OR, hash function "BLAKE", and symmetric encryption algorithm "AES-CBC-256". The proposed ARAP-SG provides the functionality of MA and enables SM and CSP to communicate securely after establishing an SK. Moreover, ARAP-SG renders the data uploading and new SM addition phase. Furthermore, in ARAP-SG, CSP can update its long-term secret without requiring a complicated mechanism. Additionally, ARAP-SG renders the phase to upload the collected data to the storage module of CSP.



- 2) Random oracle model (ROM) and Scyther-based security formal validation are conducted for ARAP-SG that explicate that ARAP-SG is secure and can resist various security risks. In addition to this, the information security analysis explicates that ARAP-SG is resilient against replay, MITMD, and impersonation attacks. In addition, ARAP-SG employs PUF to ensure the security against the SM physical capture attack.
- 3) We use the python-based cryptographic library "PyCrypto" to evaluate the execution time of various cryptographic primitives on resource constricted platform "Raspberry Pi-3". Meticulous comparative analysis explicates that ARAP-SG requires 25.5-56.76% and 7.69-49.47% low computational and communication" costs than state-of-the-art AKE protocols with enhanced security characteristics and features.

The remainder of the article is constructed as follows. The network and threat models are discussed in Section III. The proposed ARAP-SG is elaborated in Section IV. ARAP-SG protocol is analyzed formally and informally in Section V. The efficiency of ARAP-SG is evaluated in SectionVI. The paper ends with concluding remarks in Section VII.

#### **III. SYSTEM MODELS**

#### A. AUTHENTICATION MODEL

Fig. 1 represents the application scenarios for the SG system and can be considered as the authentication or network model in the proposed ARAP-SG. The network model comprises trusted authority (TA), smart meter  $(SM_{\nu}|y=1,2,\cdots,W)$ , where W denotes the number of  $SM_v$  deployed in the SG system, and central service provider ( $CSP_z|z=1,2,\cdots,T$ ), where T signifies the number  $CSP_z$  deployed in the SG system. TA is responsible for registering  $SM_v$  and  $CSP_z$  via registration center (RC).  $CSP_z$  is deployed in the SG system to store the information received from all  $SM_{v}$ s deployed in the SG system. In addition,  $CSP_z$  stores the sensitive information associated with  $SM_{\nu}$  used during the authentication phase.  $SM_{\nu}$  is responsible for collecting the electricity usage information and dispatch the collected information to  $CSP_z$  via the public communication channel (3G/4G/5G).  $CSP_z$  and  $SM_v$ exchange the information using the wireless channel, which is susceptible to various security threats. Therefore, a secure and reliable authentication protocol is imperative for the SG system to enable  $CSP_z$  and  $SM_y$  to establish an SK, which is used in accomplishing the encrypted communication after performing MA. Moreover, Table 1 summarizes the notations utilized in the proposed ARAP-SG protocol.

### **B. ADVERSARIAL MODEL**

DY model is considered as the most accordant threat model in the designing of authentication schemes. For the proposed ARAP-SG, we consider the DY models as the threat model with the following capabilities.

1) The adversary A, after commandeering the communicated message in the SG environment, can accomplish

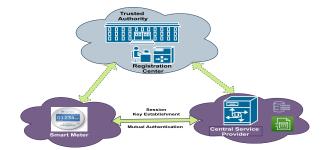


FIGURE 1. IoT-enabled SG system.

TABLE 1. List of notations used in ARAP-SG.

Notation	Description
$CSP_z$	z <sup>th</sup> central service provider
$SM_y$	y <sup>th</sup> smart meter
$ID_{CSP_z}$	Real identity (128 bits) of $CSP_z$
$PID_y$	Temporary parameter (128 bits) associated with $SM_y$
P	Denotes the generation point on the elliptic curve
$SEC_{CSP_z}$ , $PUB_{CSP_z}$	Secret and public key pair for $CSP_z$
$SEC_{SM_y}, SM_{SM_y}$	Secret and public key pair for $SM_y$
$TM_a, TM_b$	Timestamps used in ARAP-SG
TADTRC	Allowed time delay and receiving time of a particular message
$K_2$	Secret parameter or key associated with $SM_y$ , which is used in decryption process
IV	Denotes the initialization vectors used in the encryption and encryption process
$CH_y$	Challenge parameter associated with $SM_y$
$PUF(\cdot)$	Physical unclonable function generates the parameters $K_1 = K_3$ by taking $CH_y$ as the input
$K_1, K_3$	Secret parameters or keys, generated using PUF
$Kr_2, Kr_3$	Secret keys used in the encryption and decryption process
$E_k(meg), D_k(ct)$	AES-based encryption of message $meg$ and decryption of ciphertext $ct$ using the secret- key
CT, PT	Denote the ciphertext and plaintext
$RN_a$ , $RN_b$	Random numbers used in the construction of session key
$Auth6 \stackrel{?}{=} Auth5$	Checks if both the authentication parameter are same
H(.)	Hash-function
⊕,∥	Exclusive-OR, concatenation, respectively

various operations, such that it can modify the message content, can delete the expropriated message, and can reconstruct the captured message using randomly generated parameters. After performing any of the aforementioned malicious activity,  $\mathcal{A}$  can re-transmit the modified message.

- 2)  $SM_y$  are not the trusted devices as they are deployed in the unattended SG environment. A can capture a  $SM_y$  and can procure the secret credentials loaded in the memory of  $SM_y$ .
- 3)  $CSP_z$  are usually placed in the locking system and cannot be captured by A physically. In addition, RC is the fully trusted authority in the SG system.
- 4) Finally, we consider the CK-adversary model, which is commonly used in designing "key-exchange protocols." According to the CK-adversary model, A can accomplish similar functions as accomplished in the DY model as mentioned earlier, and can also reveal the secreted parameters, such as "secret keys," "session states," and "session keys."

#### IV. THE PROPOSED ARAP-SG PROTOCOL

In this section, we present the ARAP-SG protocol for the SG system. It is imperative to perceive that we essentially focus on the mutual authentication between  $SM_y$  and  $CSP_z$  followed by the SK's establishment. Once both the  $SM_y$  and  $CSP_z$  successfully set up an SK during the authenticated key exchange phase, then  $SM_y$  can securely transmit the accumulated data



towards *CSP<sub>z</sub>* through the public internet. ARAP-SG protocol comprises the trailing phases.

#### A. SYSTEM SETUP PHASE

The ECC-based cryptosystem is extensively employed to devise AKE protocols. ECC utilizes the trailing formula:

$$Y^2 = X^2 + aX + b \mod p \ a, b \in F_p,$$
 (1)

where  $F_p$  represents the finite filed over the prime numbers p. ECC-based cryptosystem over  $F_p$  is consider to be secure if the condition  $4a^3 + 27b^2 \neq 0$  holds. RC selects P as the base point or generation point on  $F_p$ . In addition to this, RC picks identity  $ID_{CSP_z}$  and long-term secret key  $SEC_{CSP_z}$  for  $CSP_z$ . Moreover, RC computes the public key for  $CSP_z$  as  $PUB_{CSP_z} = SEC_{CSP_z} \cdot P$ . RC loads the credentials  $\{SEC_{CSP_z}, PUB_{CSP_z}, ID_{CSP_z}, P\}$  in the database (DB) of  $CSP_z$ . Finally,  $CSP_z$  makes  $PUB_{CSP_z}$  and P as the public parameter in the SG system.

#### **B. SM REGISTRATION PHASE**

In the SM registration (SREG) phase, RC deploys an SM after loading the secret parameters in the memory of the SM in the SG system. RC needs to effectuate the following essential steps to register an SM.

#### 1) STEP SREG-1

 $SM_y$  sends the enrollment or registration request message to RC. RC after getting the registration request sends a challenge  $CH_y$  to  $SM_y$  via a secure channel.  $SM_y$  on getting  $CH_y$  from RC, generates a response K1 as  $K1 = PUF(CH_y)$  and sends  $\{K1, CH_y\}$  to RC.

Remark 1: To render the physical security, we assume that  $SM_y$  is provided with a robust Physical Unclonable Function (PUF). PUF takes challenge  $CH_y$  as the input and generates response K, which can be expressed by the expression  $K = PUF(CH_y)$ . For a particular input challenge, PUF produces an identical response each time. In addition, for two distinct input challenges, PUF produces distinct output responses.

# 2) STEP SREG-2

RC upon receiving  $\{K1, CH_y\}$ , picks unique searching identity  $PID_y$  and "key" K2 and computes  $U_1 = E_{(K2\parallel ID_{CSP_z})}\{K1, CH_y\}$  by using AES-CBC-256 encryption/decryption algorithm. Finally, RC sends the list of parameters  $\{K2, PID_y\}$  to  $SM_y$  via a secure channel and stores the credentials  $\{PID_y, U_1\}$  in the database of  $CSP_z$ .

Remark 2: Advanced encryption standard with cipher block chaining (AES-CBC-256) mode is used for the encryption and decryption process. Here "256" denotes the secret key size used in the encryption and decryption process. The encryption and decryption process of AES-CBC-256 can be defined by  $CT = E_k\{(IV), PT\}$  and  $PT = D_k\{(IV), CT\}$ , respectively, where CT, PT, k, and IV denote ciphertext, plaintext, key, and initialization vector, respectively.

#### 3) STEP SREG-3

After receiving the credentials  $\{K2, PID_y\}$  from RC,  $SM_y$  computes  $Auth1 = H(K2 \parallel PID_y \parallel K1)$  and  $U_2 = E_{K1}\{K2, PID_y\}$ . Finally,  $SM_y$  stores the credentials  $\{CH_y, U_2, Auth1\}$  in its own memory.

#### C. AKE PHASE

In this phase,  $SM_y$  and  $CSP_z$  achieve MA and then establish a secret SK for the encrypted communication in future. Following steps are executed to accomplish the AKE phase.

# 1) STEP AKE-1

 $SM_y$  extracts the stored challenge parameter  $CH_y$  from its own memory and computes

$$K3 = PUF(CH_{v}), \tag{2}$$

$$(PID_{v} \parallel K2) = D_{K3}\{U_2\},\tag{3}$$

$$Auth2 = H(K2 \parallel PID_{v} \parallel K3). \tag{4}$$

In addition,  $SM_y$  checks  $Auth1 \stackrel{?}{=} Auth2$ . If it is true,  $SM_y$  continues the AKE process. Otherwise,  $SM_y$  stops further execution of the AKE phase. Moreover,  $SM_y$  selects  $RN_a$ , timestamps  $TM_a$ , secret key  $SEC_y$ , and computes

$$PUB_{SM_{v}} = (SEC_{v} \cdot P), \tag{5}$$

$$SECK_1 = (SEC_{y} \cdot PUB_{CSP_{z}}), \tag{6}$$

$$U3 = (K2 \parallel PID_{v}) \oplus H(TM_{a} \parallel SECK_{1}), \qquad (7)$$

$$IV_1 = H(K2 \parallel PID_v \parallel TM_a), \tag{8}$$

$$CT3 = E_{K3}\{(IV_1), RN_a\},$$
 (9)

$$Auth3 = H(RN_a \parallel SECK_1 \parallel K2 \parallel PID_v), \tag{10}$$

Finally,  $SM_y$  fabricates the message  $M_{SM_y}$ : { $TM_a$ , U3, CT3, Auth3,  $PUB_{SM_y}$ } and dispatches it to  $CSP_z$  through the public channel.

# 2) STEP AKE-2

 $CSP_z$  on getting  $M_{SM_y}$  from  $SM_y$ , ensures the freshness of  $M_{SM_y}$  by corroborating the condition  $TAD \ge |TRC - TM_a|$ , where TAD, TRC, and  $TM_a$  represent the allowed time delay,  $M_{SM_y}$  received time, and  $M_{SM_y}$  received time, respectively. Moreover,  $CSP_z$  performs the following computation

$$SECK_2 = (SEC_{CSP_z} \cdot PUB_{SM_v}), \tag{11}$$

$$(K2 \parallel PID_{v}) = U3 \oplus H(TM_{a} \parallel SECK_{2}), \qquad (12)$$

where  $SECK_2$  is the shared secret, generated using ECC. Moreover, after procuring the parameters  $(K2 \parallel PID_y)$ ,  $CSP_z$  checks if  $PID_y$  exists in its database. If found,  $CSP_z$  retrieves the parameter  $\{U_1\}$  associated with  $PID_y$ . In addition to this,  $CSP_z$  calculates

$$(K1, CH_y) = D_{(K2||ID_{CSP_z})} \{U_1\}$$
(13)

$$IV_2 = H(K2 \parallel PID_v \parallel TM_a), \tag{14}$$

$$RN_a = D_{K2}\{(IV_2), CT3\},$$
 (15)

$$Auth4 = H(RN_a \parallel SECK_2 \parallel K2 \parallel PID_v), \quad (16)$$



Smart Meter $SM_y$	Service Provider $CSP_z$
$\{CH_y, U_2, Auth1\}$	$\{PID_y, U_1\}$
picks $SEC_{SM_y}$ , $RN_1$ , and $TM_a$ ,	
retrieves $CH_y$ and computes,	validates $TAD \ge  TRC - TM_a $ , if holds,
$K3 = PUF(CH_y),$	$SECK_2 = (SEC_{CSP_z} \cdot PUB_{SM_u}),$
$(PID_y \parallel K2) = D_{K3}\{CT1\},$	$(K2 \parallel PID_y) = U3 \oplus H(TM_a \parallel SECK_2),$
$Auth2 = H(K2 \parallel PID_y \parallel K3),$	retrieves $\{U_1\}$ associated with $PID_y$ ,
$Auth1 \stackrel{?}{=} Auth2$ , if so,	$(K1, CH_y) = D_{(K2  ID_{CSP_z})}\{U_1\},$
picks $RN_a$ , $TM_a$ , computes	$IV_2 = H(K2 \parallel PID_y \parallel TM_a),$
$PUB_{SM_y} = (SEC_y \cdot P), SECK_1 = (SEC_y \cdot PUB_{CSP_z}),$	$RN_a = D_{K2}\{(IV_2), CT3\},$
$U3 = (\mathring{K2} \parallel PID_y) \oplus H(TM_a \parallel SECK_1),$ $W = W(Y2) \parallel PID_y \parallel TM_y = W(Y2) \parallel PM_y = W(Y$	$Auth4 = H(RN_a \parallel SECK_2 \parallel K2 \parallel PID_y),$
$IV_1 = H(K2 \parallel PID_y \parallel TM_a), CT3 = E_{K3}\{(IV_1), RN_a\},$	checks $Auth4 \stackrel{?}{=} Auth3$ , if so,
$Auth3 = H(RN_a \parallel SECK_1 \parallel K2 \parallel PID_y),$	selects $TM_b$ , $RN_b$ , and computes
$\{TM_a, U3, CT3, Auth3, PUB_{SM_y}\}$	$Kr = H(RN_a \parallel TM_b \parallel SECK_2 \parallel K1),$
$SM_y \rightarrow CSP_z$ .	$IV_3 = (RN_a \oplus K2), CT4 = E_{Kr}\{(IV_3), RN_b, ID_{CSP_z}\},$
	$SK_{CSP_z} = H(RN_a \parallel RN_b \parallel SECK_2 \parallel ID_{CSP_z} \parallel TM_a \parallel TM_b \parallel PID_y),$
	$Auth5 = H(SK_{CSP_z} \parallel RN_b \parallel Kr \parallel K_3 \parallel RN_a),$
checks $TAD \ge  TRC - TM_b $ , if holds,	$\{TM_b, CT4, Auth5\}$
$Kr2 = H(RN_a \parallel TM_b \parallel SECK_2 \parallel K3),$ $H_{AB} = (RN_a \parallel K3) \cdot (RN_b \parallel K$	$\langle \frac{\{TM_b, CT4, Auth5\}}{CSP_z \rightarrow SM_y}$ .
$IV_4 = (RN_a \oplus K2), (RN_b, ID_{CSP_z}) = D_{Kr2}\{(IV_4), CT4\},$	
$SK_{SM_y} = H(RN_a \parallel RN_b \parallel SECK_1 \parallel ID_{CSP_z} \parallel TM_a \parallel TM_b \parallel PID_y),$	
$Auth6 = H(SK_{SM_y} \parallel RN_b \parallel Kr2 \parallel K_3 \parallel RN_a),$	
checks $Auth6 \stackrel{?}{=} Auth5$ , $SM_y$ after validating the condition considers that both	
session keys established at $SM_y$ and $CSP_z$ are similar.	anar II rn II mr II mr II nr I
$SK_{SM_y}(=SK_{CSP_z}) = H(RN_a \parallel RN_b RN_b RN_b RN_b RN_b RN_b RN_b RN_b$	$SECK_2 \parallel ID_{CSP_z} \parallel TM_a \parallel TM_b \parallel PID_y)$

FIGURE 2. ARAP-SG's SK establishment phase.

where  $SECK_2$ ,  $(K2 \parallel PID_y)$ ,  $IV_2$ ,  $RN_a$ , and Auth4 represent the shared secret parameter generated using ECC point multiplication, pair of secret parameters (plaintext) from the decryption process, initialization vector, plaintext generated from the decryption process, and authentication parameter generated. In addition,  $CSP_z$  checks the trailing condition

$$Auth4 \stackrel{?}{=} Auth3. \tag{17}$$

If the condition is corroborated,  $CSP_z$  contemplates the received  $M_{SM_y}$  as the authentic message.  $CSP_z$  after corroborating the validity of  $M_{SM_y}$ , selects  $TM_b$ ,  $RN_b$ , and computes

$$Kr = H(RN_a \parallel TM_b \parallel SECK_2 \parallel K1),$$
 (18)

$$IV_3 = (RN_a \oplus K2), \tag{19}$$

$$CT4 = E_{Kr}\{(IV_3), RN_b, ID_{CSP_a}\},$$
 (20)

$$SK_{CSP_z} = H(RN_a || RN_b || SECK_2 || ID_{CSP_z} || TM_a || TM_b || PID_y),$$
(21)

$$Auth5 = H(SK_{CSP_7} \parallel RN_b \parallel Kr \parallel K_1 \parallel RN_a), \tag{22}$$

where Kr, CT4,  $SK_{CSP_z}$ , and Auth5 denote secret key for the encrypting  $RN_b$ , and  $ID_{CSP_z}$ , ciphertext generated by using AES-CBC-256, secret session key for accomplishing the encrypted communication, and authentication parameter, which will be validated at SM. Finally,  $CSP_z$  constructs the message  $M_{CSP_z}$ : { $TM_b$ , CT4, Auth5} and transmits  $M_{CSP_z}$  to  $SM_v$  through an open channel.

# 3) STEP AKE-3

 $SM_y$  after getting the response message  $M_{CSP_z}$  from  $CSP_z$ , ensures the freshness of  $M_{CSP_z}$  by corroborating the condition  $TAD \ge |TRC - TM_b|$ , where TAD, TRC, and  $TM_b$  represent the allowed time delay,  $M_{CSP_z}$  received time, and  $M_{CSP_z}$ 

received time, respectively.

$$Kr2 = H(RN_a \parallel TM_b \parallel SECK_2 \parallel K3),$$
 (23)

$$IV_4 = (RN_a \oplus K2), \tag{24}$$

$$(RN_b, ID_{CSP_7}) = D_{Kr2}\{(IV_4), CT4\},$$
 (25)

$$SK_{SM_y} = H(RN_a \parallel RN_b \parallel SECK_2 \parallel ID_{CSP_z} \parallel TM_a \parallel TM_b \parallel PID_y), \tag{26}$$

$$Auth6 = H(SK_{SM_v} \parallel RN_b \parallel Kr \parallel K_3 \parallel RN_a), \quad (27)$$

where Kr2,  $SK_{SM_y}$ , and Auth6 denote secret key used in the decryption process to get  $RN_b$  and  $ID_{CSP_z}$ , which is performed using AES-CBC-256, secret session key employed to achieve the indecipherable communication, and authentication parameter. Finally, to corroborate authenticity of the received message  $M_{CSP_z}$ ,  $SM_y$  checks the condition

$$Auth6 \stackrel{?}{=} Auth5. \tag{28}$$

If the condition is corroborated,  $SM_y$  considers  $M_{CSP_z}$  as the authentic massage. In addition,  $SM_y$  after validating the condition considers that both session keys established at  $SM_y$  and  $CSP_z$  are similar. The proposed ARAP-SG is recapitulated in Fig. 2.

# D. NEW SM ADDITION PHASE

In new SM addition (NSA) phase, RC adds a new  $SM_y^{new}$  the SG environment by executing the trailing steps.

# 1) STEP NSA-1

 $SM_y^{new}$  dispatches the registration message to RC. RC on getting message, sends a challenge  $CH_y$  to  $SM_y$  via a secure channel. Moreover,  $SM_y^{new}$  on procuring  $CH_y^{new}$  from RC,



determines the response  $K1^{new}$  by  $K1^{new} = PUF(CH_y^{new})$  and transmits  $\{K1^{new}, CH_y^{new}\}$  to RC via secure channel.

# 2) STEP NSA-2

RC upon procuring the parameters  $\{K1^{new}, CH_y^{new}\}$ , picks  $PID_y^{new}$  and "key"  $K2^{new}$  and calculates  $U_1^{new} = E_{(K2^{new}||ID_{CSP_z})}\{K1^{new}, CH_y^{new}\}$ . Finally, RC sends the list of parameters  $\{K2^{new}, PID_y^{new}\}$  to  $SM_y^{new}$  via a secure channel and stores the credentials  $\{PID_y^{new}, U_1^{new}\}$  in the database of  $CSP_z$ .

#### 3) STEP NSA-3

After obtaining the credentials  $\{K2^{new}, PID_y^{new}\}$  from RC,  $SM_y^{new}$  determines  $Auth1^{new} = H(K2 \parallel PID_y^{new} \parallel K1^{new})$  and  $U_2^{new} = E_{K1^{new}}\{K2^{new}, PID_y^{new}\}$ . Finally,  $SM_y^{new}$  stores the credentials  $\{CH_y^{new}, U_2^{new}, Auth1^{new}\}$  in its own memory.

# E. DATA STORE PHASE

In this phase,  $SM_y$  uploads the collected data to data collection module of  $CSP_z$ . Data store (DS) phase comprises the following steps.

#### 1) STEP DS-1

After collecting the data (DT),  $SM_y$  needs to send the collected data to  $CSP_z$  for further analysis. For this purpose,  $SM_y$  selects  $R_6$ ,  $R_7$ , and computes  $PUB_{SM_y} = R_7 \cdot P$  and

$$U_7 = (PID_{v} \parallel R_6) \oplus H(R_7 \cdot PUB_{CSP_2}), \tag{29}$$

$$K_7 = H(R_7 \cdot PUB_{CSP_z} \parallel SK_{SM_y}), \tag{30}$$

$$CT_7 = E_{K_7}\{(IV_7 = R_6), DT\}.$$
 (31)

Finally,  $SM_y$  contrives a message  $MD_1$  :{ $U_7$ ,  $CT_7$ ,  $PUB_{SM_y}$ ,  $R_6$ } and sends it to  $CSP_z$  data storage module.

# 2) STEP DS-2

 $CSP_{z}$  after getting the message  $MD_{1}$ , computes

$$(PID_{y} \parallel R_{6}) = U_{8} \oplus H(SEC_{CSP_{z}} \cdot PUB_{SM_{y}}), \qquad (32)$$

$$K_9 = (SEC_{CSP_z} \cdot PUB_{SM_y} \parallel SK_{CSP_z}), \quad (33)$$

$$DT = D_{K_0}\{(IV_8 = R_6), CT\}.$$
 (34)

Finally,  $CSP_z$  stores the data DT against  $PID_y$  in its data store module.

# **V. SECURITY EVALUATION**

In this section, we evaluate the security of the proposed ARAP-SG by conducting formal and informal analyses.

# A. INFORMAL SECURITY EVALUATION

The non-mathematical security validation demonstrates that the proposed ARAP-SG thwarts various well-known attacks.

### 1) PRIVILEGED INSIDER ATTACK

Under this attack, a legitimate user can access the information stored in the database of  $CSP_z$ . By using these information,  $\mathcal{A}$  can effectuate various attack on behalf of a specific  $SM_y$ .

However, in the proposed ARAP-SG,  $CSP_z$  stores the sensitive information, associated with  $SM_y$  in encrypted form. Thus, to decrypt sensitive information,  $\mathcal{A}$  needs long term secret key of  $CSP_z$ , which is known only to  $CSP_z$  and  $\mathcal{A}$  cannot get this secret key. Therefore, without knowing the secret key of  $CSP_z$ ,  $\mathcal{A}$  cannot obtain any sensitive information to effectuate various attacks. Thus, ARAP-SG can resist privilege insider attack.

### 2) REPLAY ATTACK

In the proposed ARAP-SG, there are two messages, such as  $M_{SM_y}$ :  $\{TM_a, U3, CT3, Auth3, PUB_{SM_y}\}$  and  $M_{CSP_z}$ :  $\{TM_b, CT4, Auth5\}$  are exchanged to accomplish the AKE process. A can extract valuable information from a particulars entity of the SG system by replaying the captured messages. However, each transmitted message to accomplish the AKE phase incorporates the latest timestamps and new random numbers. In addition,  $CSP_z$  and  $SM_y$  check the condition  $TAD \geq |TRC - TM_a|$  and  $TAD \geq |TRC - TM_b|$  for  $M_{SM_y}$  and  $M_{CSP_z}$  to ensure the freshness of the received message. Thus, ARAP-SG can resist replay attack.

#### 3) DoS ATTACK

DoS attack enables  $\mathcal{A}$  to overwhelm the processing resources by sending to many AKE messages to  $CSP_z$  on behalf of some  $SM_y$ . However, in the proposed ARAP-SG, before sending an AKE request message to  $CSP_y$ ,  $SM_y$  needs to achieve the local authentication by performing the computation  $K3 = PUF(CH_y)$ ,  $(PID_y \parallel K2) = D_{K3} \{CT1\}$ , and  $Auth2 = H(K2 \parallel PID_y \parallel K3)$ . Local authentication will be successfully if the  $Auth1 \stackrel{?}{=} Auth2$  is corroborated. However, without accomplishing the above computation,  $\mathcal{A}$  cannot generate a valid AKE request message. Thus, ARAP-SG can thwart DoS attack.

# 4) IMPERSONATION ATTACK

According to adversarial model described in the Section III-B,  $\mathcal{A}$  can capture  $M_{SM_y}$ : { $TM_a$ , U3, CT3, Auth3,  $PUB_{SM_y}$ } and  $M_{CSP_z}$ : { $TM_b$ , CT4, Auth5}. After expropriating the captured messages,  $\mathcal{A}$  attempts to impersonate as a legitimate  $SM_y$  and  $CSP_z$ . To impersonate as legitimate  $SM_y$ ,  $\mathcal{A}$  needs to construct valid  $M_{SM_y}$ . However,  $\mathcal{A}$  cannot construct as valid message without knowing the secret parameter related to  $SM_y$ . Similarly,  $\mathcal{A}$  cannot fabricate licit message  $M_{CSP_z}$  without knowing the secret credentials related to  $CSP_z$ . Thus, ARAP-SG is resistant to the impersonation attacks.

# 5) ANONYMITY AND UNTRACEABLITY

Suppose that  $\mathcal{A}$  captures the messages  $M_{SM_y}$ :  $\{TM_a, U3, CT3, Auth3, PUB_{SM_y}\}$  and  $M_{CSP_z}$ :  $\{TM_b, CT4, Auth5\}$  and strives to get actual identities  $PID_y$  and  $ID_{CSP_z}$  of  $SM_y$  and  $CSP_z$ , respectively. The real identifies of  $SM_y$  and  $CSP_z$  are protected using the hash function and encryption algorithm. Thus,  $\mathcal{A}$  is unable to extricate the real identities of  $SM_y$  and  $CSP_z$ . In addition the communicated message are



dynamic and A canot establish correlation between the messages expropriated from two different AKE sessions. Hence, ARAP-SG ensure the anonymity and untraceability features.

#### 6) SM CAPTURE ATTACK

After capturing  $SM_y$  deployed in SG environment, A can extricate the sensitive information, such as  $\{CH_y, U_2, Auth1\}$  from the memory of  $SM_y$ . However, the information stored in the memory of  $SM_y$  are in encrypted form, which are encrypted using the secret key generated by PUF function. In addition, all  $SM_y$  store unique secret credentials.

#### 7) MITMD ATTACK

To effectuate a MITMD attack,  $\mathcal{A}$  requires to produce a legitimate AKE request or response message. After capturing  $M_{SM_y}$ : { $TM_a$ , U3, CT3, Auth3,  $PUB_{SM_y}$ },  $\mathcal{A}$  can generate a modified AKE request message,i.e,  $M'_{SM_y}$ : { $TM'_a$ , U3', CT3', Auth3',  $PUB'_{SM_y}$ }. However,  $\mathcal{A}$  cannot fabricate an authentic message without knowing the credentials { $SEC_{CSP_z}$ , K1/K2,  $PID_{SM_y}$ }. Similarly, after capturing  $M_{CSP_z}$ : { $TM_b$ , CT4, Auth5},  $\mathcal{A}$  cannot generate a modified message  $M'_{CSP_z}$ : { $TM'_b$ , CT4', Auth5'} without knowing the secret credentials associated with  $CSP_z$ . Hence, ARAP-SG can thwart MITMD attack.

## 8) ESL ATTACK

In ARAP-SG, the session key  $SK_{SM_y}(=SK_{CSP_z}) = H(RN_a \parallel RN_b \parallel SECK_2 \parallel ID_{CSP_z} \parallel TM_a \parallel TM_b \parallel PID_y)$  is constructed by using the both the long-term secret (LOS) and ephemeral secret (EPS) credentials. Therefore, to construct a licit SK,  $\mathcal{A}$  needs to compromise both LOS and EPS. However, it is infeasible for  $\mathcal{A}$  to extricate both the LOS and EPS at the same time. Thus, ARAP-SG can resist the ESL attack.

# 9) ADAPTABLE CSP SECRET KEY UPDATE

ARAP-SG enables the  $CSP_z$  to update its long-term secret key  $SEC_{CSP_z}$  without requiring any complex mechanism. RC selects new  $SEC_{CSP_z}^n$  and computes the new public key as  $PUB_{CSP_z}^n = SEC_{CSP_z}^{nz} \cdot P$ . After generating the new parameters, such as  $SEC_{CSP_z}^n$  and  $PUB_{CSP_z}^n$  and loads these credentials in the database of  $CSP_z$ . Finally,  $CSP_z$  broadcasts the  $PUB_{CSP_z}^n$  in the SG environment and all the  $SM_y$  stores the  $PUB_{CSP_z}^n$  in the memory.

# B. SECURITY EVALUATION USING RANDOM ORACLE MODEL

The devised ARAP-SG is investigated through ROM to verify the semantic security and determine that ARAP-SG fulfills the required and satisfactory SK security. We initially elaborate on the ROM of the designed ARAP-SG and then explain the SK security of the propounded scheme in Theorem 1. According to the ROM model of the devised ARAP-SG, the  $p^{th}$  instance of a participant  $\mathcal{G}$  is designated as  $\mathcal{G}_t$ . Smart meter  $SM_v$  and central service provider  $CSP_z$ 

**TABLE 2. ROM queries.** 

Query	Purpose
$Execute(\mathcal{G}^{p2}_{SM_{\mathcal{Y}}},\mathcal{G}^{p3}_{CSP_{j}})$	$\mathcal{A}$ by accomplishing this query can commandeer all messages dispatched between $SM_y$ and $CSP_j$ .
$CorruptSM(\mathcal{G}^{p1}_{SM_y})$	$\mathcal{A}$ by executing this query, through PA attacks, extricate the secret parameters from $SM_y$ 's memory.
$Test(\mathcal{G}^{p1})$	$\mathcal{A}$ by accomplishing this query makes an SK request to $\mathcal{G}^{p1}$ , i.e. if the requested SK is accurate or probabilistic output, procured by flipping a coin ' $C$ .'
$Reveal(\mathcal{G}^{p1})$	$\mathcal{A}$ by accomplishing this query reveals the SK, constructed between $\mathcal{G}^{p1}$ and its associate entity.
$Send(\mathcal{G}^{p1}, MES)$	${\cal A}$ by effectuating this query can effectuate an active attack by dispatching a message $MES$ to ${\cal G}^{p1}$ , ${\cal G}^{p1}$ generates a response message $MES$ accordingly.

are defined as the entities  $\mathcal{G}_{SM_y}$  and  $\mathcal{G}_{CSP_z}$ , and their  $p_1^{th}$ , and  $p_2^{th}$  instances are defined as  $\mathcal{G}_{SM_y}^{p1}$  and  $\mathcal{G}_{CSP_z}^{p2}$ , respectively. In addition, collision-avoidance hash operation H(.) is represented as a random oracle HSH, available to all participants in the ROM. Moreover, the ROM incorporates a set of queries presented in Table 2 employed by  $\mathcal{A}$  in designing an attack.

Definition 1:  $Adv_A^{ECDLP}(plt)$  denotes A's advantage in polynomial time (plt) to procure the secret key from the public of the network entity. However, the advantage of A in extracting  $SEC_{CSP_z}$  from the  $PUB_{CSP_z} = SEC_{CSP_z} \cdot P$  is trivial and contemplated to as elliptic curve discrete logarithm problem (ECDLP).

Definition 2: The encryption algorithm is IND-CPA secure in single/multiple eavesdropper setting and  $Adv_{SE,\Omega}^{IND-CPA}(l)$  or  $Adv_{ME,\Omega}^{IND-CPA}(l)$  is trivial for A in polynomial time (plt). Here,  $\Omega$  denotes an encryption algorithm (AES-CBC-256).

Theorem 1: Let  $Adv_{\mathcal{A}}^{NRAP-SG}(plt)$  be  $\mathcal{A}$ 's advantage, executing in plt to breach the security of the SK constructed during the AKE phase of the proposed ARAP-SG. Assume  $HQU^2$ ,  $HPF^2$ , |HSH|, |PUF|,  $Adv_{\mathcal{A}}^{IND-CPA}(plt)$ , and  $Adv_{\mathcal{A}}^{ECDLP}(plt)$  represent the hash queries, PUF queries, hash output space, PUF output rage space,  $\mathcal{A}$ 's in breaking the security of AES-CBC-256, and  $\mathcal{A}$ 's in breaking the security of ECC algorithm, respectively. Then,

$$Adv_{\mathcal{A}}^{ARAP-SG}(plt) \leq \frac{HQU^{2}}{|HSH|} + \frac{HPF^{2}}{|PUF|} + 2.Adv_{\mathcal{A}}^{IND-CPA}(plt) + Adv_{\mathcal{A}}^{ECDLP}(plt).$$

$$(35)$$

*Proof:* To prove the Theorem 1, we describe five games  $Game_0$ ,  $Game_1$ ,  $Game_2$ ,  $Game_3$ , and  $Game_4$  including an event SC, where A guesses the bit B correctly. Moreover, we describe A's advantage in winning the game  $(Game_0 - Game_4)$  as  $Adv_A = PB[SC]$ . The games  $Game_0$ ,  $Game_1$ ,  $Game_2$ ,  $Game_3$ , and  $Game_4$  are explained in details as follows.

 $Game_0$ :

$$Adv_{\mathcal{A}}^{ARAP-SG}(plt) = |2.PB[SC0] - 1|. \tag{36}$$

 $Game_1$ :  $\mathcal{A}$  effectuates an active attack by executing *Execute* and *Test* queries, which are defined in Table 2. By using *Execute* query,  $\mathcal{A}$  can capture the communicated messages, such as  $M_{SM_y}$ :  $\{TM_a, U3, CT3, Auth3, PUB_{SM_y}\}$ 

and  $M_{CSP_z}$ : { $TM_b$ , CT4, Auth5} during the AKE process. In addition, by using Test,  $\mathcal{A}$  can determine the guessed session key is real key or a random number. However, in the proposed ARAP-SG, the session key is generated as  $SK_{SM_y}(=SK_{CSP_z}) = H(RN_a \parallel RN_b \parallel SECK_2 \parallel ID_{CSP_z} \parallel TM_a \parallel TM_b \parallel PID_y)$ , which is the synthesis of both LOS and EPS. Thus, to break the security of  $SK_{SM_y}(=SK_{CSP_z})$ ,  $\mathcal{A}$  needs to both LOS and EPS. In addition, from the captured messages,  $\mathcal{A}$  canot derive sensitive credentials, which are used to construct SK. So,  $\mathcal{A}$  cannot win the game only by capturing the communicated messages. Therefore, under eavesdropping attack both  $Game_0$  and  $Game_1$  remain indistinguishable. Thus, we can get

$$PB[SC0] = PB[SC1]. \tag{37}$$

 $Game_2: \mathcal{A}$  launches an active attacks, by performing an HSH queries. In the proposed ARAP-SG,  $SM_y$  sends a response message  $M_{SM_y}: \{TM_a, U3, CT3, Auth3, PUB_{SM_y}\}$  to  $CSP_z$ , where the parameter  $U3 = (K2 \parallel PID_y) \oplus H(TM_a \parallel SECK_1)$  is protected by collision resistant hash function. Thus,  $\mathcal{A}$  cannot find any collision while executing HSH queries. Therefore, by birthday paradox, we get

$$|PB[SC1] - PB[SC2]| \le \frac{HQU^2}{2|HSH|}.$$
 (38)

 $Game_3$ : After capturing the smart meter and executing  $CorruptSM(\mathcal{G}^{p1}_{SM_y})$ ,  $\mathcal{A}$  can extricate the sensitive information, such as  $\{CH_y, U_2, Auth1\}$ , which are stored in the encrypted form in the memory of  $SM_y$ . Thus, to procure the secret information,  $\mathcal{A}$  need to perform PUF queries. However, PUF generates a unique response against a unique challenge. Therefore,  $\mathcal{A}$  cannot find any collision, while executing the PUF queries. Hence, we get

$$|PB[SC3] - PB[SC2]| \le \frac{HPF^2}{2|PUF|}.$$
 (39)

 $Game_4$ : This is the last game wherein  $\mathcal{A}$  by eavesdropping the request and response messages, such as  $M_{SM_y}$ : { $TM_a$ , U3, CT3, Auth3,  $PUB_{SM_y}$ } and  $M_{CSP_z}$ : { $TM_b$ , CT4, Auth5} tries to construct the session key. However, the parameters CT3 and CT4 of message  $M_{SM_y}$  and  $M_{CSP_z}$ , respectively are protected by encryption algorithm (AES-CBC-256). AES-CBC-256 in secure against the chosen plaintext attack (Definition 2). In addition,  $\mathcal{A}$  cannot extract the long-term secret key of  $CSP_z$  from the parameter  $PUB_{SM_y}$  (Definition 1). From the Definition (1) and Definition (2), we get

$$|PB[SC3] - PB[SC4]| \le Adv_{\mathcal{A}}^{IND-CPA}(plt) + Adv_{\mathcal{A}}^{ECDLP}(plt). \tag{40}$$

Besides, A's in presuming the consequence of the flipped coin B, by accomplishing the games  $Game_x|x \in [0, 4]$ , is as follows

$$PB[SC4] = 1/2.$$
 (41)

From (36) and (37), we get

$$Adv_{\mathcal{A}}^{ARAP-SG}(plt) = |2.PB[SC0] - \frac{1}{2}|. \tag{42}$$

From (42), we get

$$\frac{1}{2}Adv_{\mathcal{A}}^{ARAP-SG}(plt) = |PB[SC0] - \frac{1}{2}|. \tag{43}$$

By using (41) and (43), we obtain

$$\frac{1}{2}Adv_{\mathcal{A}}^{ARAP-SG}(plt) = |PB[SC1] - PB[SC4]| \tag{44}$$

By using triangular inequality, we get

$$|PB[SC1] - PB[SC4]|$$

$$\leq |PB[SC1] - PB[SC2]| + |PB[SC2] - PB[SC4]|$$

$$\leq |PB[SC1] - PB[SC2]| + |PB[SC2] - PB[SC3]|$$

$$+ |PB[SC3] - PB[SC4]|. \tag{45}$$

By using (38), (39), (40), and (45), we get

$$\begin{split} Adv_{\mathcal{A}}^{ARAP-SG}(plt) &\leq \frac{HQU^2}{|HSH|} + \frac{HPF^2}{|PUF|} \\ &\quad + 2.Adv_{\mathcal{A}}^{IND-CPA}(plt) + Adv_{\mathcal{A}}^{ECDLP}(plt). \end{split} \tag{46}$$

#### C. SCYTHER-BASED ANALYSIS

Scyther is a software tool used to validate the resiliency of the proposed security protocol against various security attacks. In addition, Scyther explicates the security vulnerability in the tested security protocol. Thus, we employed the Scyther tool to validate the security of the proposed ARAP-SG. Scyther uses the security protocol description language (SPDL) for the implementation of security protocol. SPDL is a python-like language. We coded ARAP-SG using the SPDL language.

In the SPDL script, we have defined two roles, such as SMY and CSPZ. Each role has some manually defined claims and some automatically generated roles. Manually specified claim for SMY is *claim(SMY, Secret, SEK)* and CSPZ is *claim(CSPZ, Secret, SEK)*, which are validated by the Scyther, as shown in Fig. 3. Moreover, the claims for the role SMY, such as *claim(SMY, Alive)*, *claim(SMY, Nisynch)*, and *claim(SMY, Niagree)* are validated by Scyther. Similarly, same type of claims are also validated by Scyther for role CSPZ, as demonstrated in Fig. 3.

### VI. RESULTS AND DISCUSSION

We compare the proposed ARAP-SG with the relevant AKE schemes, such as Ashraf *et al*. [6], Dariush *et al*. [10], Vangala *et al*. [15], Bera *et al*. [7], Jangirala *et al*. [13], Garg *et al*. [32], and Odelu *et al*. [33] devised for the SG system. We consider performance metrics, such as the computational and communication costs, to evaluate the efficacy of ARAP-SG and the relevant security schemes.

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Scyther results : verify							
Claim				Status	Comments		
ARAP_SG	SMY	ARAP_SG,SMY1	${\sf Secret} {\sf H(RNA,RNB,EC(SCECSPZ,SECY,P),IDCSPZ,TMA,TMB}$	Ok	No attacks within bounds.		
		ARAP_SG,SMY2	Alive	Ok	No attacks within bounds.		
		ARAP_SG,SMY3	Niagree	Ok	No attacks within bounds.		
		ARAP_SG,SMY4	Nisynch	Ok	No attacks within bounds.		
	CSPZ	ARAP_SG,CSPZ1	${\sf Secret} {\sf H(RNA,RNB,EC(SCECSPZ,SECY,P),IDCSPZ,TMA,TMB}$	Ok	No attacks within bounds.		
		ARAP_SG,CSPZ2	Alive	Ok	No attacks within bounds.		
		ARAP_SG,CSPZ3	Niagree	Ok	No attacks within bounds.		
		ARAP_SG,CSPZ4	Nisynch	Ok	No attacks within bounds.		
Done.							

FIGURE 3. Security evaluation using Scyther.

TABLE 3. Estimated time for different cryptographic primitives.

Notation	Computational cost (P1)	Computational cost (P2)
$T_{ha}$	0.3421 ms	0.311/0.343
$T_{enc}$	0.550 ms	0.150 ms
$T_{ecc}$	2.94 ms	0.72 ms
$T_{eca}$	0.135 ms	0.0235 ms
$T_{bh}$	0.301 ms	0.0401 ms
$T_{bp}$	8.123 ms	4.42 ms
$T_{exp}$	1.42 ms	0.042 ms
$T_{pf}$	$0.59~\mu { m s}$	-

To simulate  $SM_y$ , we used the platform "Ubuntu LTS-16.4, Raspberry Pi-3 with "Ubuntu LTS-16.4", Quad-Core @1.2 Ghz, and 1-GB of RAM". Similarly, system "Core-i5" with processor @2.6 Ghz, operating system "Ubuntu LTS-16.4" and 4-GB of RAM is used to simulate  $CSP_z$ . In addition, Python-based library "PyCrypto" to determine the computational costs of various cryptographic primitives. All the computational costs of different primitives is given in Table 3.

# A. COMPUTATIONAL COST

We denote computational time of "ECC point multiplication", "ECC point addition", "bi-linear paring", "modular exponentiation", "PUF", "hash function BLAKE", and "hash function SHA-160" by  $T_{ecc}$ ,  $T_{eca}$ ,  $T_{bp}$ ,  $T_{bh}$ ,  $T_{exp}$ ,  $T_{pf}$ , and  $T_{sh}$ . To determine the computational cost, we use the computational complexities of various cryptographic primitives presented in Table 3. The computational cost at  $SM_{\nu}$ ,  $CSP_z$  and total computational cost is given in Table 4. The Computational at  $SM_v$  is 9.3 ms, which is 32.17%, 33.57%, 41.14%, 43.64%, 30.6%, 18.42%, and 17.7% lower than Ashraf et al. [6], Dariush et al. [10], Vangala et al. [15], Bera et al. [7], Jangirala et al. [13], Garg et al. [32], and Odelu et al. [33], respectively. In addition, the computational cost at  $CSP_z$  is 1.4 ms, which is 64.1%, 53.95%, 58.82%, 58.46%, 44%, 70.83%, and 86.79% lower than the state-ofthe-art AKE schemes. ARAP-SG's estimated total computational cost is 12 ms, which is 39.36%, 36.97%, 44.24%, 46%, 25.52%, 42.93%, 51.25%, and 56.76% lower than the stateof-the-art AKE schemes. CSPz is the main component of the SG system, which keeps the sensitive information associated with  $SM_{\nu}$  and is responsible for verifying the authenticity of  $SM_{\nu}$ . Therefore, it is imperative to reduce the computational cost at  $CSP_z$  when a large number of  $SM_y$ 

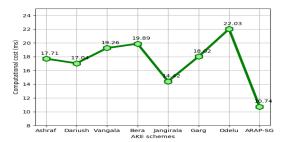


FIGURE 4. Computational cost required to complete the AKE phase.

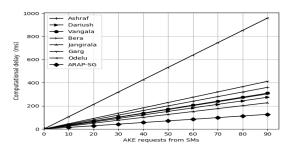


FIGURE 5.  $CSP_z$  computational cost with increasing the number of users.

send AKE messages to  $CSP_z$ . Fig. 5 shows the relationship between the number of users and computational cost.

#### **B. SECURITY FEATURES**

This subsection renders the comparative analysis of the security features of ARAP-SG and the other related AKE schemes. The analysis of the security features are presented in Table 5. The scheme of Ashraf et al. [6] cannot withstand the device capture attack. The scheme of Ashraf et al. cannot ensure the secure certificate computation. Dariush et al. [10] susceptible to MITMD eavesdropping, information leakage, and impersonation attacks. Additionally, the scheme Dariush et al. cannot provide unlinkability and anonymity features, Vangala et al. [15] susceptible to de-synchronization attack, Bera et al. [7] susceptible to de-synchronization attack and does not render the certificate anonymity which leads to the traceablity of the smart meter, Jangirala et al. [13] susceptible to MITMD eavesdropping, information leakage, and impersonation attacks. Additionally, the scheme Jangirala et al. cannot provide unlinkability and anonymity features, Garg et al. [32] unable to impede device impersonation attack, and Odelu et al. [33] susceptible to MITMD eavesdropping, information leakage, and impersonation attacks. Additionally, the scheme Odelu et al. cannot provide unlinkability and anonymity features. However, the scheme of ARAP-SG is unable to resist the aforementioned security threats and renders enhanced security features.

# C. COMMUNICATION COST

The communication cost refers to number message exchanged to accomplish the AKE phase. Reducing the communication cost is salient objective of the devised AKE scheme. In the proposed ARAP-SG, two AKE messages



TABLE 4. An analysis of the computational cost.

AKE Scheme	$SM_y$ Side	$CSP_z$ Side	Total Time
Ashraf et al. [6]	$4T_{ha} + 4T_{ecc} + 2T_{eca} \approx 13.71 \text{ ms}$	$4T_{ha} + 5T_{ecc} \approx 3.9 \text{ ms}$	$8T_{ha} + 9T_{ecc} + 2T_{eca} \approx 17.71 \text{ ms}$
Dariush et al. [10]	$5T_{ha} + 5T_{ecc} + T_{eca} \approx 14  ms$	$T_{ha} + 4T_{ecc} + T_{eca} \approx 3.04  ms$	$9T_{ha} + 9T_{ecc} + 2T_{eca} \approx 17.04  ms$
Vangala et al. [15]	$9T_{ha} + 4T_{ecc} + 2T_{eca} \approx 15.8 ms$	$9T_{ha} + 4T_{ecc} + 2T_{eca} \approx 3.4 \text{ ms}$	$18T_{ha} + 8T_{ecc} + 4T_{eca} \approx 19.26 \text{ ms}$
Bera et al. [7]	$11T_{ha} + 4T_{ecc} + T_{eca} \approx 16.5  ms$	$11T_{ha} + 4T_{ecc} + T_{eca} \approx 3.37 ms$	$11T_{ha} + 8T_{ecc} + 2T_{eca} \approx 19.89  ms$
Jangirala et al. [13]	$16T_{ha} + 5T_{ecc} + 2T_{eca} \approx 11.9  ms$	$11T_{ha} + 3T_{ecc} + T_{eca} \approx 2.5 ms$	$35T_{ha} + 11T_{ecc} + 4T_{eca} + T_B \approx 14.42  ms$
Garg $et \ al. [32]$	$7T_{ha} + 3T_{ecc} + T_{eca} \approx 13.4  ms$	$7T_{ha} + 3T_{ecc} + T_{eca} \approx 4.8 \ ms$	$14T_{ha} + 6T_{ecc} + 2T_{eca} \approx 18.82  ms$
Odelu et al. [33]	$6T_{ha} + 2T_{ecc} + T_{eca} + T_{exp} \approx 11.3  ms$	$6T_{ha} + 2T_{ecc} + T_{eca} + T_{exp} + T_{bp} \approx 10.6 \text{ ms}$	$12T_{ha} + 4T_{ecc} + 2T_{eca} + 2T_{exp} + T_{bp} \approx 22.03  ms$
ARAP-SG	$6T_{bh} + 3T_{enc} + 2T_{ecc} + T_{pf} \approx 9.3  ms$	$6T_{bh} + T_{ecc} + 3T_{enc} \approx 1.4  ms$	$12T_{bh} + 3T_{ecc} + 6T_{enc} \approx 10.74  ms$

**TABLE 5.** An analysis of the security features.

AKE Scheme	SF1	SF2	SF3	SF4	SF5	SF6	SF7	SF8	SF9	SF10	SF11	SF12
Ashraf et al. [6]	<b>√</b>	×	<b>√</b>	Х	√	√	<b>√</b>	√	√	√	√	×
Dariush [10]	Х	√	<b>√</b>	<b>√</b>	√	<b>√</b>	<b>√</b>	√	<b>√</b>	×	<b>√</b>	×
Vangala et al. [15]	√	<b>√</b>	X	√	<b>√</b>	-						
Bera et al. [7]	<b>√</b>	<b>√</b>	X	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	X	<b>√</b>	<b>√</b>	×
Jangirala et al. [13]	X	√	<b>√</b>	<b>√</b>	√	X	<b>√</b>	√	Х	×	<b>√</b>	×
Garg et al. [32]	√	<b>√</b>	<b>√</b>	<b>√</b>	√	X	<b>√</b>	√	<b>√</b>	<b>√</b>	<b>√</b>	×
Odelu et al. [33]	Х	<b>√</b>	<b>√</b>	√	√	<b>√</b>	√	<b>√</b>	X	×	<b>√</b>	×
ARAP-SG	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	√	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	√	<b>√</b>

Note: SF1: MITMD attack, SF2: SM/Device capture attack, SF3: Replay attack, SF4: Secure certificate computation, SF5: MA, SF6: SM/IoT node impersonation attack, SF7: Eavesdropping attack, SF8: DoS, SF9: SM anonymity, SF10: Untraceablity, SF11: New SM addition phase, SF12: DS phase, v: Signifies available feature; x: indicates the feature not available

TABLE 6. An analysis of the communication cost.

AKE Scheme	Transmitted Message to Accomplish the AKE Phase	Total
Ashraf $et\ al.\ [6]$	$SM_y \xrightarrow{1152} CSP_z \xrightarrow{512} CSP_z$	1664 bits
Dariush et al. [10]	$SM_y \xrightarrow{2016} CSP_z \xrightarrow{512} SM_y$	2528 bits
Vangala et al. [15]	$SM_y \xrightarrow{928} CSP_z \xrightarrow{1088} SM_yCSP_z \xrightarrow{288} CSP_z$	2304 bits
Bera $et\ al.\ [7]$	$SM_y \xrightarrow{1184} CSP_z \xrightarrow{1280} CSP_z \xrightarrow{288} SM_y \xrightarrow{288} CSP_z$	3040 bits
Jangirala et al. [13]	$SM_y \xrightarrow{352} CSP_z \xrightarrow{832} CSP_z \xrightarrow{672} SM_y$	1856 bits
Garg et al. [32]	$SM_y \xrightarrow{864} CSP_z \xrightarrow{928} SM_y$	1792 bits
<b>Odelu</b> <i>et al.</i> [33]	$SM_y \xrightarrow{1088} CSP_z \xrightarrow{672} SM_y \xrightarrow{160} CSP_z$	1920 bits
ARAP-SG	$SM_y \xrightarrow{992} CSP_z \xrightarrow{544} SM_y$	1536 bits



FIGURE 6. Communication cost needed to accomplish the AKE phase.

are exchange, such as  $M_{SM_y}$ : { $TM_a$ , U3, CT3, Auth3,  $PUB_{SM_y}$ } of size 864 bits and  $M_{CSP_z}$ :{ $TM_b$ , CT4, Auth5} of size 544 bits. Total estimated communication cost is {992 + 544} = 1536 bits. The scheme of Ashraf  $et\ al$ . [6], Dariush  $et\ al$ . [10], Vangala  $et\ al$ . [15], Bera  $et\ al$ . [7], Jangirala  $et\ al$ . [13], Garg  $et\ al$ . [32], and Odelu  $et\ al$ . [33] require 1664 bits, 2528 bits, 2304 bits, 3040 bits, 1856 bits, 1792 bits, 1920 bits, and 3552 bits, respectively, which are 7.69%, 39.24%, 33.33%, 49.47%, 17.24%, 14.29%, and 20% higher than ARAP-SG. Table 6 and Fig. 6 present the comparative analysis of communication of ARAP-SG and the relevant AKE schemes. ARAP-SG incurs less communication cost than the related security scheme devised for the SG system.

# VII. CONCLUSION

IoT devices in the SG environment transmit sensitive information to central server through an open channel.

The channel is exposed to various security threats including information modification, which can potentially disrupt the streamlined operation of the SG system. To protect the integrity of information communicated between SM and CSP in SG system, we have introduced an ECC-based secure AKE protocol in this paper, called ARAP-SG. ARAP-SG enables CSP and SM to establish an SK after accomplishing the mutual authentication. We conducted the ROM-based and Scyther-based formal analysis to explicate that the ARAP-SG is secure. In addition, the informal security analysis confirms that ARAP-SG can withstand various security threats that can degrade the smooth operation of the SG system. Finally, ARAP-SG is contrasted with relevant AKE protocol to show that ARAP-SG requires fewer resources while rendering improved security functionalities.

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